

# New extension theorems for codes over $\mathbb{F}_q$

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# Overview

- ♣ Three extension theorems are generalized.
- ♣ Some examples are given.
- ♣ A geometric method is employed to prove the results.

# Contents

1. Extendability of linear codes
2. Generalized extension theorems
3. Geometric approach

# 1. Extendability of linear codes

$\mathbb{F}_q$ : the field of  $q$  elements

$$\mathbb{F}_q^n = \{(a_1, a_2, \dots, a_n) \mid a_1, \dots, a_n \in \mathbb{F}_q\}.$$

An  $[n, k, d]_q$  code  $\mathcal{C}$  means a  $k$ -dimensional subspace of  $\mathbb{F}_q^n$  with minimum distance  $d$ ,

$$d = \min\{wt(a) \mid wt(a) \neq 0, a \in \mathcal{C}\}.$$

We only consider non-degenerate codes

(i.e.  $\nexists i$  ;  $c_i = 0$  for all  $c = (c_1, \dots, c_n) \in \mathcal{C}$ ).

The **weight distribution (w.d.)** of  $\mathcal{C}$  is the list of numbers  $A_i = |\{c \in \mathcal{C} \mid wt(c) = i\}|$ .

The weight distribution with

$$(A_0, A_d, \dots, A_i, \dots) = (1, \alpha, \dots, w, \dots)$$

is also expressed as

$$0^1 d^\alpha \dots i^w \dots .$$

A linear code  $\mathcal{C}$  over  $\mathbb{F}_q$  is  $w$ -weight (mod  $q$ )

if

$$\exists W = \{i_1, \dots, i_w\} \subset \mathbb{Z}_q = \{0, 1, \dots, q-1\}$$

s.t.

$$A_i > 0 \Rightarrow i \equiv i_j \pmod{q} \text{ for some } i_j \in W$$

Ex. The Golay  $[11, 6, 5]_3$  code with a generator matrix

$$G_1 = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 1 & 1 & 1 \\ 0 & 1 & 0 & 0 & 0 & 0 & 0 & 1 & 2 & 2 & 1 \\ 0 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 1 & 2 & 2 \\ 0 & 0 & 0 & 1 & 0 & 0 & 2 & 1 & 0 & 1 & 2 \\ 0 & 0 & 0 & 0 & 1 & 0 & 2 & 2 & 1 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & 1 & 1 & 2 & 2 & 1 & 0 \end{bmatrix}$$

has weight distribution  $0^1 5^{132} 6^{132} 8^{330} 9^{110} 11^{24}$ ,  
 which is 2-weight (mod 3).

$\mathcal{C}$ :  $[n, k, d]_q$  code with generator matrix  $G$

$\mathcal{C}$  is **extendable** (to  $\mathcal{C}'$ ) if

$[G, h]$  generates an  $[n + 1, k, d + 1]_q$  code  $\mathcal{C}'$

for some column vector  $h$ ,  $h^T \in \mathbb{F}_q^k$ .

$\mathcal{C}'$  is an **extension** of  $\mathcal{C}$ .

**Thm 1.** Every binary code with odd minimum distance is extendable.

## 2. Generalized extension theorems

**Thm 2** (Hill-Lizak 1995).

Let  $\mathcal{C}$  be an  $[n, k, d]_q$  code with  $\gcd(d, q) = 1$   
s.t.  $i \equiv 0 \text{ or } d \pmod{q}$  for  $\forall i$  with  $A_i > 0$ .

Then  $\mathcal{C}$  is extendable.

**Thm 1.** Every **binary** code with **odd minimum distance** is extendable.



### 3. Generalized extension theorems

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Let  $\mathcal{C}$  be an  $[n, k, d]_q$  code with  $\gcd(d, q) = 1$   
s.t.  $i \equiv 0$  or  $d \pmod{q}$  for  $\forall i$  with  $A_i > 0$ .

Then  $\mathcal{C}$  is extendable.

**Cor.**

$\mathcal{C}$ : an  $[n, k, d]_q$  code with  $d \equiv -1 \pmod{q}$ .

Then  $\mathcal{C}$  is extendable if

$$A_i > 0 \Rightarrow i \equiv 0 \text{ or } -1 \pmod{q}$$

### 3. Generalized extension theorems

**Thm 2** (Hill-Lizak 1995).

Let  $\mathcal{C}$  be an  $[n, k, d]_q$  code with  $\gcd(d, q) = 1$   
s.t.  $i \equiv 0$  or  $d \pmod{q}$  for  $\forall i$  with  $A_i > 0$ .

Then  $\mathcal{C}$  is extendable.

**Note.**

- $\mathcal{C}$  is 2-weight (mod  $q$ ).
- Condition “ $\gcd(d, q) = 1$ ” is assumed.

For 3-weight (mod  $q$ ) codes, the following is known:

**Thm 3** (Maruta 2004, Yoshida-M 2010).

Let  $\mathcal{C}$  be an  $[n, k, d]_q$  code with  $q \geq 5$ ,  $d \equiv -2 \pmod{q}$ . Then  $\mathcal{C}$  is extendable if

$$A_i > 0 \Rightarrow i \equiv 0, -1, -2 \pmod{q}.$$

**Note.**

- $\gcd(d, q) = 2$  when  $q$  is even.

We give the first result for 4-weight (mod  $q$ ) codes.

**Thm 4.**

Let  $\mathcal{C}$  be an  $[n, k, d]_q$  code with  $q = 2^h$ ,  $h \geq 3$ ,  $d$  odd. Then  $\mathcal{C}$  is extendable if

$$A_i > 0 \Rightarrow i \equiv 0, d, q/2, d + q/2 \pmod{q}.$$

**Note.**

- $\gcd(d, q) = 1$  since  $d$  is odd.

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**Thm 4.**

Let  $\mathcal{C}$  be an  $[n, k, d]_q$  code with  $q = 2^h$ ,  $h \geq 3$ ,  $d$  odd. Then  $\mathcal{C}$  is extendable if

$$A_i > 0 \Rightarrow i \equiv 0 \text{ or } d \pmod{q/2}.$$

**Note.**

- $\gcd(d, q) = 1$  since  $d$  is odd.

## Example 1.

Let  $\mathcal{C}_1$  be a  $[100, 3, 87]_8$  code. It can be proved that all possible weights of  $\mathcal{C}_1$  are 0, 87, 88, 91, 92, 95, 96, that is,

$$A_i > 0 \Rightarrow i \equiv -1, 0, 3, 4 \pmod{8}$$

i.e.,

$$A_i > 0 \Rightarrow i \equiv 0 \text{ or } 3 \pmod{4}.$$

Hence  $\mathcal{C}_1$  is extendable by Thm 4.

## Example 1.

$[101, 3, 88]_8$

(a)  $0^1 88^{476} 96^{35}$  ( $\text{wt} \equiv 0 \pmod{8}$ )

(b)  $0^1 88^{441} 92^{70}$  ( $\text{wt} \equiv 0, 4 \pmod{8}$ )

$[100, 3, 87]_8$ : extendable

(a-1)  $0^1 87^{413} 88^{63} 95^{35}$  ( $\text{wt} \equiv -1, 0$ )

(a-2)  $0^1 87^{420} 88^{56} 95^{28} 96^7$  ( $\text{wt} \equiv -1, 0$ )

(b-1)  $0^1 87^{392} 88^{49} 91^{56} 92^{14}$  ( $\text{wt} \equiv -1, 0, 3, 4$ )

(b-2)  $0^1 87^{378} 88^{63} 91^{70}$  ( $\text{wt} \equiv -1, 0, 3$ )

## Example 1.

$[100, 3, 87]_8$ : extendable

$$(a-1) 0^1 87^{413} 88^{63} 95^{35}$$

$$(a-2) 0^1 87^{420} 88^{56} 95^{28} 96^7$$

$$(b-1) 0^1 87^{392} 88^{49} 91^{56} 92^{14}$$

$$(b-2) 0^1 87^{378} 88^{63} 91^{70}$$

## Application 1.

Using the above result, we can prove the nonexistence of  $[796, 4, 696]_8$  codes.



## **Thm 4.**

Let  $\mathcal{C}$  be an  $[n, k, d]_q$  code with  $q = 2^h$ ,  $h \geq 3$ ,  $d$  odd. Then  $\mathcal{C}$  is extendable if

$$A_i > 0 \Rightarrow i \equiv 0 \text{ or } d \pmod{q/2}.$$

## **Application 2.**

Using Thm 4, we can also prove the nonexistence of  $[795, 4, 695]_8$  codes.

(Prove  $A_j = 0$  for  $j = 722, 725, 726, 733, 734$ .)

**Thm 2** (Hill-Lizak 1995).

Let  $\mathcal{C}$  be an  $[n, k, d]_q$  code with  $\gcd(d, q) = 1$   
s.t.  $i \equiv 0$  or  $d \pmod{q}$  for  $\forall i$  with  $A_i > 0$ .  
Then  $\mathcal{C}$  is extendable.

**Note.**

- $\mathcal{C}$  is 2-weight (mod  $q$ ).
- Condition “ $\gcd(d, q) = 1$ ” is assumed.

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Then  $\mathcal{C}$  is extendable.

**Note.**

- $\mathcal{C}$  is 2-weight (mod  $q$ ).
- Condition “ $\gcd(d, q) = 1$ ” is assumed.

**Question:** How about when  $\gcd(d, q) = 2$ ?

## Thm 5.

Let  $\mathcal{C}$  be an  $[n, k, d]_q$  code with  $q = 2^h$ ,  $h \geq 3$ ,  $\gcd(d, q) = 2$ . Then  $\mathcal{C}$  is extendable if

$$A_i > 0 \Rightarrow i \equiv 0 \text{ or } d \pmod{q}.$$

## Note.

- $\mathcal{C}$  is 2-weight (mod  $q$ ).
- Condition “ $\gcd(d, q) = 2$ ” is assumed.

## Example 2.

Let  $\mathcal{C}_2$  be a  $[73, 4, 62]_8$  code with w.d.

$0^1 62^{17} 64^{64} 1883^{70} 252^{72} 196$ , satisfying

$$A_i > 0 \Rightarrow i \equiv 0 \text{ or } 6 \pmod{8}.$$

Hence  $\mathcal{C}_2$  is extendable by Thm 5.

$\mathcal{C}_2$  is from

[http://www.algorithm.uni-bayreuth.de/en/research  
/Coding\\_Theory/Linear\\_Codes\\_BKW/index.html](http://www.algorithm.uni-bayreuth.de/en/research/Coding_Theory/Linear_Codes_BKW/index.html).

## Thm 5.

Let  $\mathcal{C}$  be an  $[n, k, d]_q$  code with  $q = 2^h$ ,  $h \geq 3$ ,  $\gcd(d, q) = 2$ . Then  $\mathcal{C}$  is extendable if

$$A_i > 0 \Rightarrow i \equiv 0 \text{ or } d \pmod{q}.$$

## Note.

- $\mathcal{C}$  is 2-weight (mod  $q$ ).
- Condition “ $\gcd(d, q) = 2$ ” is assumed.
- Condition “ $h \geq 3$ ” is sharp.

**Example 3** (Counterexample for  $q = 4$ ).

$\mathcal{C}_3$ :  $[14, 3, 10]_4$  code with generator matrix

$$\begin{bmatrix} 0 & 0 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 \\ 1 & 1 & 0 & 0 & 1 & 1 & \omega & \omega & \omega & \omega & \bar{\omega} & \bar{\omega} & \bar{\omega} & \bar{\omega} \\ \omega & \bar{\omega} & \omega & \bar{\omega} & \omega & \bar{\omega} & 0 & 1 & \omega & \bar{\omega} & 0 & 1 & \omega & \bar{\omega} \end{bmatrix},$$

where  $\mathbb{F}_4 = \{0, 1, \omega, \bar{\omega}\}$ . The w.d. of  $\mathcal{C}$  is:

$$0^1 1 0^4 2^2 1 2^2 1 \quad (\text{wt} \equiv 0, 2 \pmod{4}).$$

It can be checked that  $\mathcal{C}$  is not extendable.

**Thm 6** (Simonis 2000).

Let  $\mathcal{C}$  be an  $[n, k, d]_q$  code with  $\gcd(d, q) = 1$ ,  
 $q = p^h$ ,  $p$  prime. Then  $\mathcal{C}$  is extendable if  
 $\sum_{i \not\equiv d \pmod{p}} A_i = q^{k-1}$ .



**Thm 6** (Simonis 2000).

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 $\sum_{i \not\equiv d \pmod{p}} A_i = q^{k-1}$ .

**Note.**

- Condition “ $\gcd(d, q) = 1$ ” is assumed.

**Question:** How about when  $\gcd(d, q) = 2$ ?

We give a generalization of Thm 6:

### **Thm 7.**

Let  $h, m, t$  be integers with  $0 \leq m < t \leq h$ .

For  $q = p^h$  with prime  $p$ , every  $[n, k, d]_q$  code with  $\gcd(d, q) = p^m$  is extendable if

$$\sum_{i \neq d \pmod{p^t}} A_i = q^{k-1}. \quad (*)$$

### **Note.**

- Thm 6 is the case  $(m, t) = (0, 1)$ .
- Condition  $(*)$  can be weakened as follows.

## Thm 7'.

Let  $h, m, t$  be integers with  $0 \leq m < t \leq h$ .

For  $q = p^h$  with prime  $p$ , every  $[n, k, d]_q$  code with  $\gcd(d, q) = p^m$  is extendable if

$$\sum_{i \not\equiv d \pmod{p^t}} A_i < q^{k-1} + r(q)q^{k-3}(q-1),$$

where  $q + r(q) + 1$  is the smallest size of a non-trivial blocking set in  $\text{PG}(2, q)$ .

( $r(3) = r(4) = 2$ ,  $r(5) = 3$ ,  $r(7) = 4$ .)

## Example 4.

Let  $\mathcal{C}_3$  be the  $[30, 3, 22]_4$  code with w.d.

$0^{122^{45}24^{15}30^3}$ . Then  $\mathcal{C}_3$  is extendable by

Thm 7 ( $m = 1, t = 2, p = 2$ ), for

$$\sum_{i \not\equiv d \pmod{22}} A_i = 1 + 15 = 2^{3-1}.$$

$\mathcal{C}_3$  is from

I. Bouyukliev, M. Grassl, Z. Varbanov, New bounds for  $n_4(k, d)$  and classification of some optimal codes over  $\text{GF}(4)$ , *Discrete Math.*, **281**, 43–66, 2004.

For an  $[n, k, d]_q$  code  $\mathcal{C}$  with  $\gcd(d, q) < q$ , the **diversity** of  $\mathcal{C}$  is defined as  $(\Phi_0, \Phi_1)$  with

$$\Phi_0 = \frac{1}{q-1} \sum_{q|i, i>0} A_i, \quad \Phi_1 = \frac{1}{q-1} \sum_{i \not\equiv 0, d \pmod{q}} A_i.$$

**Note.**

Under the condition  $\gcd(d, q) = 1$ ,

$\mathcal{C}$  is extendable if  $\Phi_1 = 0$

by Thm 2.

For an  $[n, k, d]_q$  code  $\mathcal{C}$  with  $\gcd(d, q) < q$ , the **diversity** of  $\mathcal{C}$  is defined as  $(\Phi_0, \Phi_1)$  with

$$\Phi_0 = \frac{1}{q-1} \sum_{q|i, i>0} A_i, \quad \Phi_1 = \frac{1}{q-1} \sum_{i \neq 0, d \pmod{q}} A_i.$$

**Note.**

Under the condition  $\gcd(d, q) = 1$ ,

$\mathcal{C}$  is extendable if  $\Phi_1 < q^{k-2}$

[M-Yoshida 2012].

**Thm 8** (Maruta, 2005).

$\mathcal{C}$ :  $[n, k, d]_3$  code with diversity  $(\Phi_0, \Phi_1)$ ,

$\gcd(3, d) = 1$ ,  $k \geq 3$ .

Then  $\mathcal{C}$  is extendable if

$$(\Phi_0, \Phi_1) \in \{(\theta_{k-2}, 0), (\theta_{k-3}, 2 \cdot 3^{k-2}),$$
$$(\theta_{k-2}, 2 \cdot 3^{k-2}), (\theta_{k-2} + 3^{k-2}, 3^{k-2})\}$$

where  $\theta_j = (3^{j+1} - 1)/2$ .

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where  $\theta_j = (3^{j+1} - 1)/2$ .

## **Thm 9.**

$\mathcal{C}$ :  $[n, k, d]_q$  code with diversity  $(\Phi_0, \Phi_1)$ ,  
 $\gcd(d, q) = 1$ ,  $k \geq 3$ .

Then  $\mathcal{C}$  is extendable if

$$(\Phi_0, \Phi_1) = (\theta_{k-1} - 2q^{k-2}, q^{k-2})$$

where  $\theta_j = (q^{j+1} - 1)/(q - 1)$ .

## **Note.**

$$\theta_{k-1} - 2q^{k-2} = \theta_{k-2} + 3^{k-2} \text{ for } q = 3.$$

## Example 5.

$\mathcal{C}_3$ :  $[15, 3, 11]_4$  code with generator matrix

$$\begin{bmatrix} 1 & 0 & 0 & 1 & 0 & 1 & 1 & 0 & 1 & 0 & 1 & 1 & 1 & 0 & 0 \\ 0 & 1 & 0 & 1 & 1 & \bar{\omega} & \bar{\omega} & 1 & \omega & 1 & \bar{\omega} & \omega & 1 & 1 & 1 \\ 0 & 0 & 1 & 1 & 0 & 0 & 0 & \omega & 1 & 0 & 1 & 0 & 0 & \bar{\omega} & 1 \end{bmatrix},$$

where  $\mathbb{F}_4 = \{0, 1, \omega, \bar{\omega}\}$ . The w.d. of  $\mathcal{C}_3$  is  $0^1 7^3 8^3 9^3 11^9 12^{36} 13^9$  with diversity  $(13, 4)$ .

So,  $\mathcal{C}_3$  is extendable by Thm 9.

## **Thm 9.**

$\mathcal{C}$ :  $[n, k, d]_q$  code with diversity  $(\Phi_0, \Phi_1)$ ,  
 $\gcd(d, q) = 1$ ,  $k \geq 3$ .

Then  $\mathcal{C}$  is extendable if

$$(\Phi_0, \Phi_1) = (\theta_{k-1} - 2q^{k-2}, q^{k-2})$$

where  $\theta_j = (q^{j+1} - 1)/(q - 1)$ .

## **Question.**

How about when  $\gcd(d, q) > 1$ ?

## **Thm 9.**

$\mathcal{C}$ :  $[n, k, d]_q$  code with diversity  $(\Phi_0, \Phi_1)$ ,  
 $\gcd(d, q) = 1$ ,  $k \geq 3$ .

Then  $\mathcal{C}$  is extendable if

$$(\Phi_0, \Phi_1) = (\theta_{k-1} - 2q^{k-2}, q^{k-2})$$

where  $\theta_j = (q^{j+1} - 1)/(q - 1)$ .

## **Answer.**

OK for  $k = 3$ ,  $q = 2^h$ ,  $h \geq 3$  if  $\gcd(d, q) = 2$ .

## 4. Geometric approach

$\mathcal{C}$ :  $[n, k, d]_q$  code,  $k \geq 3$ ,  $\gcd(d, q) < q$

$G = [g_1^\top, \dots, g_k^\top]^\top$ : a generator matrix of  $\mathcal{C}$

$\Sigma := \text{PG}(k-1, q)$ : the projective space of dimension  $k-1$  over  $\mathbb{F}_q$

For  $P = \text{P}(p_1, \dots, p_k) \in \Sigma$ , the weight of  $P$  w.r.t.  $G$ , denoted by  $w_G(P)$ , is defined as

$$w_G(P) = \text{wt}(p_1 g_1 + \dots + p_k g_k).$$

A hyperplane  $H$  of  $\Sigma$  is defined by a non-zero vector  $h = (h_0, \dots, h_{k-1}) \in \mathbb{F}_q^k$  as

$$H = \{P = \mathbf{P}(p_0, \dots, p_{k-1}) \in \Sigma \mid h_0 p_0 + \dots + h_{k-1} p_{k-1} = 0\}.$$

$h$  is called a **defining vector** of  $H$ .

Let  $F_d = \{P \in \Sigma \mid w_G(P) = d\}$ .

**Lemma 10.**  $\mathcal{C}$  is extendable  $\Leftrightarrow$  there exists a hyperplane  $H$  of  $\Sigma$  s.t.  $F_d \cap H = \emptyset$ .

Moreover,  $[G, h]$  generates an extension of  $\mathcal{C}$ , where  $h^\top \in \mathbb{F}_q^k$  is a defining vector of  $H$ .



**Lemma 10.**  $\mathcal{C}$  is extendable  $\Leftrightarrow$  there exists a hyperplane  $H$  of  $\Sigma$  s.t.  $F_d \cap H = \emptyset$ .

Moreover,  $[G, h]$  generates an extension of  $\mathcal{C}$ , where  $h^\top \in \mathbb{F}_q^k$  is a defining vector of  $H$ .

$$F_0 = \{P \in \Sigma \mid w_G(P) \equiv 0 \pmod{q}\}$$

$$F_1 = \{P \in \Sigma \mid w_G(P) \not\equiv 0, d \pmod{q}\}$$

$$F_2 = \{P \in \Sigma \mid w_G(P) \equiv d \pmod{q}\} \supset F_d$$

**Note.** •  $(\Phi_0, \Phi_1) = (|F_0|, |F_1|)$ .

•  $F_0 \cup F_1$  forms a blocking set w.r.t. lines.

**Lemma 11** (M, 2008).

For a line  $L = \{P_0, P_1, \dots, P_q\}$  in  $\Sigma$ ,

$$\sum_{i=0}^q w_G(P_i) \equiv 0 \pmod{q}.$$

**Lemma 12** (Yoshida-M, 2010).

Let  $K$  be a set in  $\Sigma = \text{PG}(k-1, q)$ ,  $k \geq 3$ ,  
 $q = 2^h$ ,  $h \geq 3$ , s.t. for any line  $\ell$ ,

$$|\ell \cap K| \in \{1, q/2 + 1, q + 1\}.$$

Then,  $K$  contains a hyperplane of  $\Sigma$ .

## Thm 5.

Let  $\mathcal{C}$  be an  $[n, k, d]_q$  code with  $q = 2^h$ ,  $h \geq 3$ ,  $\gcd(d, q) = 2$ . Then  $\mathcal{C}$  is extendable if

$$A_i > 0 \Rightarrow i \equiv 0 \text{ or } d \pmod{q}.$$

## Note.

- $\mathcal{C}$  is 2-weight (mod  $q$ ).
- Condition “ $\gcd(d, q) = 2$ ” is assumed.

**Proof of Thm 5** (sketch). For  $q = 2^h$ ,  $h \geq 3$

$\mathcal{C}$ :  $[n, k, d]_q$  code with  $\gcd(d, q) = 2$  s.t.

$$A_i > 0 \Rightarrow i \equiv 0 \text{ or } d \pmod{q}. \quad (1)$$

$L$ : a line in  $\Sigma = \text{PG}(k-1, q) = F_0 \cup F_2$ .

Assume  $|L \cap F_2| = t$ . Lemma 11 and (1) imply

$$td \equiv 0 \pmod{q}, \text{ so, } t \equiv 0 \pmod{q/2},$$

for  $\gcd(d, q) = 2$ . Hence  $t = 0, q/2$  or  $q$ .

Thus,  $|F_0 \cap L| = 1, q/2 + 1$  or  $q + 1$ , and  $F_0$

contains a hyperplane of  $\Sigma$  by Lemma 12.

Hence  $\mathcal{C}$  is extendable by Lemma 10. □

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Thank you for your attention!