# On (4,9,96) binary orthogonal arrays

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#### Dedicated to the memory of Professor Stefan Dodunekov

**Abstract.** We consider the points of weight 3 on the putative binary orthogonal arrays of strength 4, length 9 and cardinality 96 of minimum distance 1. We obtain some restrictions which reduce the possibilities for the structure of such arrays.

### 1 Introduction

For basic definitions and results on orthogonal arrays (or designs in Hamming spaces) we refer to [5, 6]. More specific results and approaches are given in [2]. In this note we continue investigations from [2] by adding certain new necessary conditions. We conjecture that any (4, 9, 96) binary orthogonal array (if it exists) must have minimum distance of 2.

#### 2 Overview of the method of investigation

Let C be a BOA of parameters (strength, length, cardinality) = (4, 9, 96) whose existence is mentioned as undecided in Table 12.1 from the book [5]. In [2] we described some advance on the investigation of such BOA. We start with calculation of all feasible distance distributions and then reduce the possibilities by certain algorithms, called A and B in [2], and some ad hoc arguments.

In particular, Algorithm A gives all possible types of columns in the targeted BOA by calculation of vectors  $(x_1^{(s)}, x_2^{(s)}, \ldots, x_n^{(s)})$  and  $(y_0^{(s)}, y_1^{(s)}, \ldots, y_{n-1}^{(s)})$  where  $x_i^{(s)}$  and  $y_i^{(s)}$  are the numbers of 1's and 0's, respectively, in the intersection of that column (the notation s is for the column) and the *i*-block (the *i*-block,  $i \in \{0, 1, \ldots, n\}$ , as the set of all rows of weight *i* in the matrix of our BOA). Algorithm B is applied for investigation of the relations between C and its relatives derived by simultaneous cut of several columns (defined by the support of some point  $t \in C$ ). In particular, Algorithm B gives the numbers  $p_{i,j}(t)$ , equal to the number of the points of C of weight *j* and at distance *i* from *t*. Furthermore, in every specific situation we are able to calculate the numbers  $p_{i,j}^{\varepsilon,s}(t), \varepsilon = 0, 1$ , equal to the number of points of C of weight *j* and at distance *i* from *t* which are intersected by the *s*-th column in the symbol  $\varepsilon$ .

Assume that the minimum distance of C is 1. The investigation in [2] in this case leave only two possible distance distributions for any two points at distance 1. Here we complete this case therefore proving that the minimum distance of C is 2. The results in [2] come, in some sense, from considerations of the *i*-blocks for i = 0, 1, 2. Here we add further arguments to investigate the 3-block of C.

#### 3 Investigations of the points of weight 3

Let  $t \in C$  be a point of weight 3 and  $(i_1, i_2, i_3)$  be its support. We know the types of the columns  $i_1$ ,  $i_2$  and  $i_3$  and all possible distance distributions of t from Algorithm B with  $\tau_0 = 3$  (more precisely, we know the numbers  $p_{i,j}(t)$ ).

**Theorem 1.** The identities

$$x_i^{(i_1)} + x_i^{(i_2)} + x_i^{(i_3)} = p_{i+1,i}(t) + 2p_{i-1,i}(t) + 3p_{i-3,i}(t)$$

hold true for every  $i = 3, 4, \ldots, 9$ .

*Proof.* Denote by N the numbers of the 1's in the intersection of the *i*-block and the columns  $i_1$ ,  $i_2$  and  $i_3$ . Then clearly  $N = x_i^{(i_1)} + x_i^{(i_2)} + x_i^{(i_3)}$ .

Let u be an arbitrary point from the *i*-block of C. Then we have  $wt(t*u) \in \{0, 1, 2, 3\}$  for every u from the *i*-block. Therefore out count includes exactly three terms: one 1 for every u at distance i + 1 from t (there are  $p_{i+1,i}(t)$  such points), two 1's for every u at distance i - 1 from t and three 1's for every u at distance i - 3 from t which results in  $p_{i+1,i}(t) + 2p_{i-1,i}(t) + 3p_{i-3,i}(t) = N$ .  $\Box$ 

For fixed point  $t \in C$  denote by  $p_{i,j}^{(1,s)}(t)$  the number of the points of C of weight j (i.e. from the j-block) at distance i from t whose intersection with the column s is 1.

**Theorem 2.** Let  $t \in C$  be a point of weight 3. Then the identities

$$p_{k+1,k}(t) = p_{k+1,k}^{(1,i_1)}(t) + p_{k+1,k}^{(1,i_2)}(t) + p_{k+1,k}^{(1,i_3)}(t)$$

hold true for every  $k = 3, 4, \ldots, 8$ .

*Proof.* Let  $z \in C$  have weight k and distance k+1 from t. Then the equality d(z,t) = wt(z) + wt(t) - 2wt(z\*t) implies that wt(z\*t) = 1, i.e. exactly one of the columns determined by the support of t, intersects z in 1.

**Remark.** Of course, analogous assertion is true for other weight of t.

We also use the following assertion which was proved for the 2-block in [2] and analogous proof follows for the 3-block.

We consider the point  $\mathbf{t}' \in C'$ , obtained from t after cutting one column of C (so C' is the resulting (4,8,96) BOA) which passes through an 1 from the support of t. Then we apply Algorithm B for  $\mathbf{t}'$  in C' and compare the results as follows. Denote by  $R_{i,j}$  the set of the points of C' of weight j and at distance i from  $\mathbf{t}'$  and let  $|R_{i,j}| = r_{i,j}(t)$ . The numbers  $r_{i,j}(t)$  will now come from two directions to be compared – from Algorithm B for C' and  $\mathbf{t}'$  and the relations between  $\mathbf{t}'$  and t.

**Theorem 3.** The numbers  $r_{i,j}$  from Algorithm B also satisfy the equalities  $r_{i,j}(t) = p_{i+1,j}^{(0)}(t) + p_{i,j+1}^{(1)}(t)$  for every  $i, j \in \{0, 1, ..., 8\}$ .

We use Theorems 1-3 in the following way. In the beginning we consider all  $\binom{9}{3} = 84$  points of weight 3. All 14 points at distance 1 from  $y_1$  or  $y_2$  should be removed because there are no points of C at distance 1 to  $y_1$  nor to  $y_2$ . Then we partition the remaining 70 points into subclasses according to their supports. For every subclass we apply Theorems 1-3 to decide if that subclass is admissible.

We consider three cases according to the results from [2]. In every case the 0-, 1- and 2-blocks of C are

but the distance distributions of the points  $0, x, y_1$  and  $y_2$  are different.

We shall use the following notation for distance distributions

$$W_1 = (1, 1, 2, 23, 26, 15, 18, 9, 1, 0),$$
  

$$W_2 = (1, 1, 3, 19, 31, 15, 13, 13, 0, 0),$$
  

$$U_1 = (1, 0, 5, 22, 21, 20, 19, 6, 2, 0),$$
  

$$U_2 = (1, 0, 6, 18, 26, 20, 14, 10, 1, 0)$$

(the *i*-th coordinate gives the number of the points of C which are at distance i-1).

In all cases the distance distribution of  $\mathbf{0}$  is  $W_1$ .

**Case 1.** The distance distributions of  $\mathbf{x}$ ,  $\mathbf{y_1}$  and  $\mathbf{y_2}$  are  $W_1$ ,  $U_1$  and  $U_1$ , respectively.

We first consider the points of the 3-block with respect to their first coordinate -0 or 1. Since  $x_3^{(1)} = 2$ , there are only 2 out of 28 such points belonging to the 3-block.

Eleven points fail to pass the test: 110100000 (for all possibilities for one 1 in coordinates 2-3, one 1 in coordinate 4-5, 4 such points in total), 100001001 (for all possibilities for one 1 in coordinates 6-7-8, 3 such points in total), 100001100 (all possibilities for two 1's in coordinates 6-7-8, 3 such points in total) and the point 000001110.

The points below pass the test of Theorem 1 and thus 23 of them constitute the 3-block of C. More precisely, the 3-block consists of 2 points from A1-2 and 21 points from B1-5.

A1. The points 110001000, all possibilities for one 1 in coordinates 2-3-4-5, one 1 in coordinates 6-7-8, 12 such points in total.

**A2.** The points 110000001, all possibilities for one 1 in coordinates 2-3-4-5, 4 such points in total. the points 000001101 (all possibilities for the two 1's in coordinates 6-7-8, 3 such points in total),

**B1.** The points 010101000, all possibilities for one 1 in coordinates 2-3, one 1 in coordinates 4-5, one 1 in coordinates 6-7-8, 12 such points in total.

**B2.** The points 010001100, all possibilities for one 1 in coordinates 2-3-4-5, two 1's in coordinates 6-7-8, 12 such points in total.

**B3.** The points 010100001, all possibilities for one 1 in coordinates 2-3, one in coordinates 4-5, 4 such points in total.

**B4.** The points 010001001, all possibilities for one 1 in coordinates 2-3-4-5, one 1 in coordinates 6-7-8, 12 such points in total.

**B5.** The points 000001101, all possibilities for 2 ones in coordinates 6-7-8, 3 such points in total.

Denote by  $a_i$ , i = 1, 2, and  $b_j$ , j = 1, 2, ..., 5, the number of the points in **Ai.** and **Bi**, respectively. These numbers satisfy the following equalities:

 $a_1 + a_2 + b_1 + b_2 + b_3 + b_4 + b_5 = 23$  (the size of the 3-block);

 $a_1 + a_2 = 2$  (follows from  $x_3^{(1)} = 2$ );

 $a_1 + a_2 + 2b_1 + b_2 + 2b_3 + b_4 = 4.7 = 28$  (follows from  $x_3^{(i)} = 7$  for i = 2, 3, 4, 5);  $a_1 + b_1 + 2b_2 + b_4 + 2b_5 = 3.10 = 30$  (follows from  $x_3^{(i)} = 10$  for i = 6, 7, 8);  $a_2 + b_3 + b_4 + b_5 = 9$  (follows from  $x_3^{(9)} = 9$ ).

Further, we write  $a_1 = a_{1,1} + a_{1,2}$ ,  $a_2 = a_{2,1} + a_{2,2}$ ,  $b_2 = b_{2,1} + b_{2,2}$  and  $b_4 = b_{4,1} + b_{4,2}$ , where  $a_{1,1}$  and  $a_{1,2}$  correspond to one's in coordinates 2-3 and 4-5, respectively, and analogously for  $a_2 = a_{2,1}$ ,  $a_{2,2}$ ,  $b_{2,1}$ ,  $b_{2,2}$ ,  $b_{4,1}$ ,  $b_{4,2}$ . Then our knowledge of the structure of the 3-block gives further equations:

$$\begin{split} a_{1,1} + a_{2,1} + b_1 + b_{2,1} + b_3 + b_{4,1} &= p_{3,3}(y_1) = 14; \\ a_{1,2} + a_{2,2} + b_1 + b_{2,2} + b_3 + b_{4,2} &= p_{3,3}(y_2) = 14; \\ a_{1,1} + a_{2,1} &= p_{3,3}^{(1,1)}(y_1) = 1 \\ a_{2,1} + b_3 + b_{4,1} &= p_{3,3}^{(1,9)}(y_1) \in \{4,5\} \text{ and } a_{2,2} + b_3 + b_{4,2} = p_{3,3}^{(1,1)}(y_2) \in \{4,5\}; \\ a_{1,1} + b_1 + 2b_{2,1} + b_{4,1} &= p_{3,3}^{(1,6)}(y_1) + p_{3,3}^{(1,7)}(y_1) + p_{3,3}^{(1,8)}(y_1) \in \{12,13,\ldots,18\}; \\ a_{1,2} + b_1 + 2b_{2,2} + b_{4,2} &= p_{3,3}^{(1,6)}(y_2) + p_{3,3}^{(1,7)}(y_2) + p_{3,3}^{(1,8)}(y_2) \in \{12,13,\ldots,18\}; \\ b_1 + b_3 &= p_{3,3}^{(1,4)} + p_{3,3}^{(1,5)} \in \{6,7,8,9,10\}. \end{split}$$

The above unknowns are nonnegative integer and satisfy the following inequalities:  $a_{1,1} \leq a_1 \leq 2$ ,  $a_{1,2} \leq a_1 \leq 2$ ,  $a_{2,1} \leq a_2 \leq 2$ ,  $a_{2,2} \leq a_2 \leq 2$ ,  $b_1 \leq 12$ ,  $b_{2,1} \leq 6$ ,  $b_{2,2} \leq 6$ ,  $b_3 \leq 4$ ,  $b_{4,1} \leq 6$ ,  $b_{4,2} \leq 6$  and  $b_5 \leq 3$ . Unfortunately these conditions are still not enough for contradiction and we obtained 48 solutions.

We also need to consider two cases coming from the results from [2] by investigations of the 1- and 2-blocks.

Case 2. The distance distributions of  $\mathbf{x}$ ,  $\mathbf{y_1}$  and  $\mathbf{y_2}$  are  $W_2$ ,  $U_1$  and  $U_2$ , respectively.

Case 3. The distance distributions of  $\mathbf{x}$ ,  $\mathbf{y_1}$  and  $\mathbf{y_2}$  are  $W_2$ ,  $U_1$  and  $U_1$ , respectively.

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