

# Threshold Decoding for Disjunctive Group Testing

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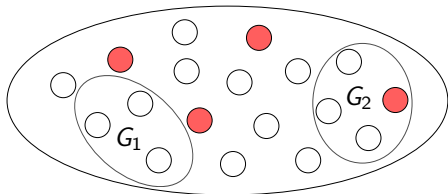
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# Group Testing Problem

Given a pool of  $t$  elements.  $\mathcal{S}_{un} \subset [t]$  – a set of defective elements.

Group test:  $G \subset [t]$ . The test result:  $y = \begin{cases} 1, & |G \cap \mathcal{S}_{un}| > 0, \\ 0, & |G \cap \mathcal{S}_{un}| = 0. \end{cases}$



$$\begin{aligned} y_1 &= 0 \\ y_2 &= 1 \end{aligned}$$

## Conventional Problem

Assumption: the number of defects  $\leq s$ .  
Problem: to find all defective elements.

## Threshold Problem

Problem: to decide whether  
 $|\mathcal{S}_{un}| \leq s$  or  $|\mathcal{S}_{un}| > s$ .



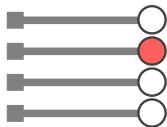
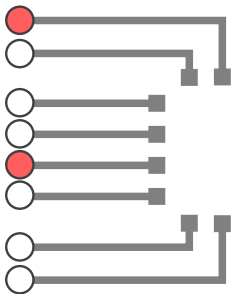
# Motivation of Threshold Problem

[1976, Malyutov]

Given a complex electronic circuit of size  $t$ .

The circuit is not working properly if  $> s$  elements are defective.

Such a circuit should be changed by a new similar circuit.

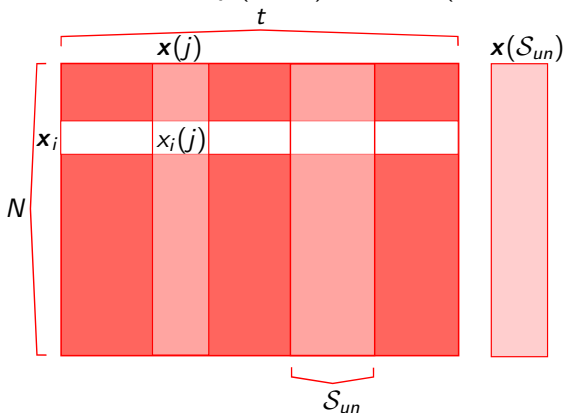


Testing time should be small.  
We consider only **nonadaptive** algorithms.



# Binary Code

$N$  tests  $\longleftrightarrow$  binary  $(N \times t)$ -matrix  $X$  (code  $X$  with codewords  $\mathbf{x}(1), \dots, \mathbf{x}(t)$ )



$$x_i(j) = 1$$

$$\iff$$

$j$ -th element is in  $i$ -th test

Result column:

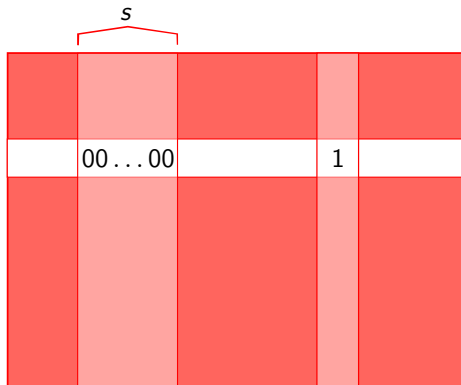
$$\mathbf{x}(\mathcal{S}_{un}) = \bigwedge_{j \in \mathcal{S}_{un}} \mathbf{x}(j)$$



# Conventional Decoding Algorithm

We say that a binary column  $\mathbf{u}$  covers a binary column  $\mathbf{v}$  if  $u_i \geq v_i, \forall i \in [N]$ .

**Definition.** A code  $X$  is called a **disjunctive  $s$ -code** if the disjunctive sum of any  $s$  codewords of  $X$  does not cover any other codeword.



To find all  $\leq s$  defects using disjunctive  $s$ -code, we find all codewords, which are covered by the result column.



# Necessity and Sufficiency

## Conventional Problem

Assumption:  $|\mathcal{S}_{un}| \leq s$ .

Problem: to find  $\mathcal{S}_{un}$ .

## Threshold Problem

Problem: to decide whether

$|\mathcal{S}_{un}| \leq s$  or  $|\mathcal{S}_{un}| > s$ .

**Proposition 1.** A code  $X$  is disjunctive  $s$ -code  $\implies X$  solves conventional problem.

**Proposition 2.** A code  $X$  solves conventional problem  $\implies X$  is disjunctive  $(s - 1)$ -code.

**Proposition 3.** A code  $X$  is disjunctive  $s$ -code  $\implies X$  solves threshold problem.

**Proposition 4.** A code  $X$  solves threshold problem  $\implies X$  is disjunctive  $s$ -code.



# Hypothesis Testing

The **null hypothesis**

$$H_0 : |\mathcal{S}_{un}| \leq s$$

The **alternative**

$$H_1 : |\mathcal{S}_{un}| \geq s + 1$$

The **probability distribution** of the random collection  $\mathcal{S}_{un}$ , is identified by vector  $\mathbf{p} \triangleq (p_0, p_1, \dots, p_t)$ ,  $p_k \geq 0$ ,  $\sum_{k=0}^t p_k = 1$ , as follows:

$$\Pr\{\mathcal{S}_{un} = \mathcal{S}\} \triangleq \frac{p_{|\mathcal{S}|}}{\binom{t}{|\mathcal{S}|}} \quad \text{for any subset } \mathcal{S} \subset [t].$$



# Hypothesis Testing

The **null hypothesis**

$$H_0 : |\mathcal{S}_{un}| \leq s$$

The **alternative**

$$H_1 : |\mathcal{S}_{un}| \geq s + 1$$

Suppose we have some group testing procedure (code  $X$ ) and some **decision rule** (DR).

Introduce **maximal error probability**

$$\varepsilon_s(\text{DR}, \mathbf{p}, X) \triangleq \max \{ \Pr\{\text{accept } H_1 | H_0\}, \Pr\{\text{accept } H_0 | H_1\} \}.$$





# Hypothesis Testing

The **null hypothesis**

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$$\varepsilon_s(\text{DR}, \mathbf{p}, X) \triangleq \max \{ \Pr\{\text{accept } H_1 | H_0\}, \Pr\{\text{accept } H_0 | H_1\} \}.$$

Introduce **universal error probability** for the DR

$$\varepsilon_s^N(\text{DR}, R) \triangleq \max_{\mathbf{p}} \left\{ \min_X \varepsilon_s(\text{DR}, \mathbf{p}, X) \right\},$$

where the minimum is taken over all codes  $X$  of length  $N$  and size  $t = \lfloor 2^{RN} \rfloor$ .



# Hypothesis Testing

The **null hypothesis**

$$H_0 : |S_{un}| \leq s$$

The **alternative**

$$H_1 : |S_{un}| \geq s + 1$$

$$\varepsilon_s(\text{DR}, \mathbf{p}, X) \triangleq \max \{ \Pr\{\text{accept } H_1 | H_0\}, \Pr\{\text{accept } H_0 | H_1\} \}.$$

$$\varepsilon_s^N(\text{DR}, R) \triangleq \max_{\mathbf{p}} \left\{ \min_X \varepsilon_s(\text{DR}, \mathbf{p}, X) \right\},$$

The **error exponent**

$$E_s(\text{DR}, R) \triangleq \overline{\lim}_{N \rightarrow \infty} \frac{-\log_2 \varepsilon_s^N(\text{DR}, R)}{N},$$

identifies the asymptotic behavior of  **$\alpha$ -level of significance** for the decision rule, i.e.,

$$\alpha \triangleq 2^{-N[E_s(\text{DR}, R) + o(1)]}, \quad \text{if } E_s(\text{DR}, R) > 0, \quad N \rightarrow \infty.$$



# Disjunctive Decision Rule (Previous Results)

The **disjunctive decision rule** (DDR) is based on the conventional decoding algorithm:

$$\left\{ \begin{array}{ll} \text{accept } \{H_0 : |\mathcal{S}_{un}| \leq s\} & \text{if } \mathbf{x}(\mathcal{S}_{un}) \text{ covers } \leq s \text{ codewords of } X, \\ \text{accept } \{H_1 : |\mathcal{S}_{un}| \geq s + 1\} & \text{if } \mathbf{x}(\mathcal{S}_{un}) \text{ covers } \geq s + 1 \text{ codewords of } X. \end{array} \right.$$

The maximum in universal error probability for the DDR is attained at the distribution vector

$$\mathbf{p} = (0, 0, \dots, 0, 1, 0, \dots, 0)$$

$s \uparrow$

[2015, D'yachkov et al]

**Theorem 1.** If  $R \geq \frac{1}{s}$ , then  $E_s(\text{DDR}, R) = 0$ .

**Theorem 2.** If  $R < \frac{\ln 2}{s}$ , then  $E_s(\text{DDR}, R) > 0$ .



# Threshold Decision Rule (New Results)

For a fixed parameter  $\tau$ ,  $0 < \tau < 1$ , introduce the  $\tau$ -threshold decision rule:

$$\begin{cases} \text{accept } \{H_0 : |\mathcal{S}_{un}| \leq s\} & \text{if } |\mathbf{x}(\mathcal{S}_{un})| \leq \lfloor \tau N \rfloor, \\ \text{accept } \{H_1 : |\mathcal{S}_{un}| \geq s + 1\} & \text{if } |\mathbf{x}(\mathcal{S}_{un})| \geq \lfloor \tau N \rfloor + 1. \end{cases}$$

The maximum in universal error probability for the DDR is attained at the distribution vector

$$\mathbf{p} = (0, 0, \dots, 0, \underbrace{\frac{1}{2}}_s, \frac{1}{2}, 0, \dots, 0)$$

**Theorem.** The error exponent for  $\tau$ -threshold decision rule satisfies the inequality:

$$E_s(\tau, R) \geq \underline{E}_s(\tau).$$

The optimal value of error exponent is not less than

$$\underline{E}_{\text{Thr}}(s) \triangleq \max_{0 < \tau < 1} \underline{E}_s(\tau, R) \geq \frac{\log_2 e}{4s^2} (1 + o(1)), \quad s \rightarrow \infty.$$



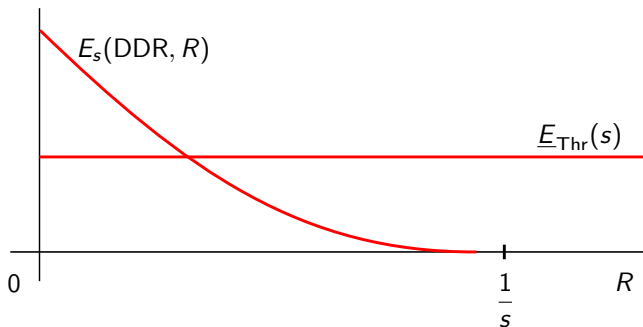
# Comparison of Decision Rules (Error Exponent)

Disjunctive decision rule (DDR)

$$E_s(\text{DDR}, R) = 0 \quad \text{if} \quad R \geq \frac{1}{s}.$$

Threshold decision rule (TDR)

$$E_s(\text{TDR}, R) \geq \underline{E}_{\text{Thr}}(s) \geq \frac{\log_2 e}{4s^2}.$$



## Comparison of Decision Rules (Simulation)

The probability distribution vector  $\mathbf{p}$  is such that  $|S_{un}|$  has binomial distribution with the mean  $s + \frac{1}{2}$ .

Code  $X$  of length  $N$  and size  $t$  is generated randomly from the ensemble of constant weight codes, i.e. every codeword of  $X$  has weight  $w$ .

$$s = 2, \quad t = 15$$

	Threshold decision rule			Disjunctive decision rule	
$N$	$\varepsilon_s(\text{TDR}, \mathbf{p}, X)$	$w$	$\lfloor \tau N \rfloor$	$\varepsilon_s(\text{DDR}, \mathbf{p}, X)$	$w$
5	<b>0.1366</b>	2	3	0.4780	2
8	<b>0.0824</b>	3	5	0.3610	2
10	<b>0.0744</b>	1	2	0.2390	3
12	<b>0.0440</b>	1	2	0.1220	3
14	<b>0.0349</b>	2	4	0.0537	3
15	0.0258	2	4	<b>0.0195</b>	3



## Comparison of Decision Rules (Simulation)

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Code  $X$  of length  $N$  and size  $t$  is generated randomly from the ensemble of constant weight codes, i.e. every codeword of  $X$  has weight  $w$ .

$$s = 2, \quad t = 20$$

$N$	Threshold decision rule			Disjunctive decision rule	
	$\varepsilon_s(\text{TDR}, \mathbf{p}, X)$	$w$	$\lfloor \tau N \rfloor$	$\varepsilon_s(\text{DDR}, \mathbf{p}, X)$	$w$
5	<b>0.1398</b>	2	3	0.5356	2
8	<b>0.0897</b>	3	5	0.4169	2
10	<b>0.0897</b>	3	5	0.3008	3
12	<b>0.0580</b>	4	7	0.1979	3
14	<b>0.0429</b>	2	4	0.1214	4
15	<b>0.0324</b>	2	4	0.0792	4
17	<b>0.0246</b>	2	4	0.0449	4
18	<b>0.0139</b>	3	6	0.0290	5
20	0.0148	3	6	<b>0.0026</b>	5



Thank you for your attention!

