

# On linear codes over a non-chain extension of $\mathbb{Z}_4$

BAHATTIN YILDIZ  
Fatih University  
SUAT KARADENIZ  
Fatih University

byildiz@fatih.edu.tr  
skaradeniz@fatih.edu.tr

**Abstract.** In this paper we discuss linear codes over the ring  $\mathbb{Z}_4 + u\mathbb{Z}_4$ , which is a natural extension of the ring  $\mathbb{Z}_4$ . But unlike  $\mathbb{Z}_4$  and many other rings studied in coding theory,  $\mathbb{Z}_4 + u\mathbb{Z}_4$  is not a finite chain ring. It is however a Frobenius ring with a non-trivial generating character and it leads to MacWilliams identities. We use the MacWilliams identities to construct formally self-dual codes over  $\mathbb{Z}_4$ . We present some examples.

## 1 Introduction

Codes over rings have long been part of research in coding theory. Especially after the emergence of [5], a lot of research was directed towards studying codes over  $\mathbb{Z}_4$ . Later, these studies were mostly generalized to finite chain rings such as Galois rings and rings of the form  $\mathbb{F}_2[u]/\langle u^m \rangle$ , etc. But codes over  $\mathbb{Z}_4$  remain a special topic of interest because of the connection with lattices, designs and cryptography. For some of the works done in this direction we refer to [3], [4], [6], [8], [9], etc.

Recently, several families of rings have been introduced in coding theory, rings that are not finite chain but are Frobenius. These rings have a rich algebraic structure and they lead to binary codes with large automorphism groups and in some cases new binary codes ([11], [2]).

In this work, we introduce the ring  $\mathbb{Z}_4 + u\mathbb{Z}_4$ , which is a non-chain, characteristic 4 ring of size 16, with an ideal structure similar to  $R_2 = \mathbb{F}_2 + u\mathbb{F}_2 + v\mathbb{F}_2 + uv\mathbb{F}_2$ . We introduce a Gray map and Lee weight for codes over  $\mathbb{Z}_4 + u\mathbb{Z}_4$  and we give the MacWilliams identity for the Lee weight enumerators of these codes. We then prove that the Gray image of self-dual codes over  $\mathbb{Z}_4 + u\mathbb{Z}_4$  are formally self-dual linear codes over  $\mathbb{Z}_4$  and we give some examples to good  $\mathbb{Z}_4$ -codes that are Gray images of codes over  $\mathbb{Z}_4 + u\mathbb{Z}_4$ .

## 2 Linear codes over $\mathbb{Z}_4 + u\mathbb{Z}_4$

The ring  $\mathbb{Z}_4 + u\mathbb{Z}_4$  is constructed as a commutative, characteristic 4 ring with  $u^2 = 0$ . It is also isomorphic as a ring to the polynomial ring  $\mathbb{Z}_4[x]/\langle x^2 \rangle$ . The

units in  $\mathbb{Z}_4 + u\mathbb{Z}_4$  are given by

$$\{1, 1 + u, 1 + 2u, 1 + 3u, 3, 3 + u, 3 + 2u, 3 + 3u\},$$

while the non-units are given by

$$\{0, 2, u, 2u, 3u, 2 + u, 2 + 2u, 2 + 3u\}.$$

The ring  $\mathbb{Z}_4 + u\mathbb{Z}_4$  has a total of 6 ideals given by

$$I_0 = \{0\} \subseteq I_{2u} = 2u(\mathbb{Z}_4 + u\mathbb{Z}_4) = \{0, 2u\} \subseteq I_u, I_2, I_{2+u} \subseteq I_{2,u} \subseteq I_1 = \mathbb{Z}_4 + u\mathbb{Z}_4 \quad (1)$$

where

$$\begin{aligned} I_u &= u(\mathbb{Z}_4 + u\mathbb{Z}_4) = \{0, u, 2u, 3u\}, \\ I_2 &= 2(\mathbb{Z}_4 + u\mathbb{Z}_4) = \{0, 2, 2u, 2 + 2u\}, \\ I_{2+u} &= (2 + u)(\mathbb{Z}_4 + u\mathbb{Z}_4) = \{0, 2 + u, 2u, 2 + 3u\} \\ I_{2,u} &= \{0, 2, u, 2u, 3u, 2 + u, 2 + 2u, 2 + 3u\}. \end{aligned}$$

Note that  $\mathbb{Z}_4 + u\mathbb{Z}_4$  is a local ring with the unique maximal ideal given by  $I_{2,u}$  and that it is a Frobenius ring. Thus it is a feasible ring for coding theory by [10].

**Definition 1.** A linear code  $C$  of length  $n$  over the ring  $\mathbb{Z}_4 + u\mathbb{Z}_4$  is an  $\mathbb{Z}_4 + u\mathbb{Z}_4$ -submodule of  $(\mathbb{Z}_4 + u\mathbb{Z}_4)^n$ .

Define  $\phi : (\mathbb{Z}_4 + u\mathbb{Z}_4)^n \rightarrow \mathbb{Z}_4^{2n}$  by

$$\phi(\bar{a} + u\bar{b}) = (\bar{b}, \bar{a} + \bar{b}), \quad \bar{a}, \bar{b} \in \mathbb{Z}_4^n. \quad (2)$$

Then define the Lee weight on  $\mathbb{Z}_4 + u\mathbb{Z}_4$  by

$$w_L(a + ub) = w_L((b, a + b)),$$

where  $w_L((b, a + b))$  describes the usual Lee weight on  $\mathbb{Z}_4^2$ . Since the Gray map is linear and distance-preserving we have

**Theorem 1.**  $\phi : (\mathbb{Z}_4 + u\mathbb{Z}_4)^n \rightarrow \mathbb{Z}_4^{2n}$  is a distance preserving linear isometry. Thus, if  $C$  is a linear code over  $\mathbb{Z}_4 + u\mathbb{Z}_4$  of length  $n$ , then  $\phi(C)$  is a linear code over  $\mathbb{Z}_4$  of length  $2n$  and the two codes have the same Lee weight enumerators.

### 3 MacWilliams identities

**Definition 2.** Let  $C$  be a linear code over  $\mathbb{Z}_4 + u\mathbb{Z}_4$  of length  $n$ , then we define the dual of  $C$  as

$$C^\perp := \{\bar{y} \in (\mathbb{Z}_4 + u\mathbb{Z}_4)^n \mid \langle \bar{y}, \bar{x} \rangle = 0, \quad \forall \bar{x} \in C\}.$$

Here,  $\langle \cdot \rangle$  denotes the usual Euclidean inner product.

Then since  $\mathbb{Z}_4 + u\mathbb{Z}_4$  is a Frobenius ring there is a MacWilliams identity for the complete weight enumerator of linear codes over  $\mathbb{Z}_4 + u\mathbb{Z}_4$  ([10]). If we apply the MacWilliams identity to the Lee weight enumerator, we obtain

**Theorem 2.** Let  $C$  be a linear code over  $\mathbb{Z}_4 + u\mathbb{Z}_4$  of length  $n$  and  $C^\perp$  be its dual. With  $Lee_C(W, X)$  denoting its Lee weight enumerator, we have

$$Lee_{C^\perp}(W, X) = \frac{1}{|C|} Lee_C(W + X, W - X).$$

This then leads to the following theorem for self-dual codes over  $\mathbb{Z}_4 + u\mathbb{Z}_4$ :

**Theorem 3.** Let  $C$  be a self-dual code over  $\mathbb{Z}_4 + u\mathbb{Z}_4$  of length  $n$ . Then

- a)  $\phi(C)$  is a formally self-dual code over  $\mathbb{Z}_4$  of length  $2n$ .
- b) The all  $2u$ -vector of length  $n$  must be in  $C$ .

### 4 Some examples

- Let  $C$  be the linear code over  $\mathbb{Z}_4 + u\mathbb{Z}_4$  of length 4 generated by the vectors

$$\{(u, u, u, u), (1, 1, 1, 1 + 2u), (0, 2 + u, 2, 3u), (0, 2, u, 2 + 3u)\}.$$

Then  $C$  is a self-dual code of size 256 with Lee weight enumerator  $1 + 112z^6 + 30z^8 + 112z^{10} + z^{16}$  and  $\phi(C)$  is equivalent to the well known Kerdock code  $\mathcal{K}_3$ , also known as the octacode.

- Let  $C$  be the linear code over  $\mathbb{Z}_4 + u\mathbb{Z}_4$  of length 6 generated by the vectors

$$(2 + 2u, 1 + 2u, 1, 1 + 3u, 1 + 2u, 0), (3 + 2u, 3 + u, 3 + u, 1 + 3u, 1 + 3u, 3 + u)$$

and  $(3 + 3u, 2 + 3u, 3 + 3u, 3u, 2, 2u)$ . Then  $C$  is a linear code over  $\mathbb{Z}_4 + u\mathbb{Z}_4$  of length 6 of size  $2^{12}$  and minimum Lee weight 6, whose Gray image is the best known  $\mathbb{Z}_4$ -code of the same parameters.

- Let  $C$  be the linear code over  $\mathbb{Z}_4 + u\mathbb{Z}_4$  of length 7 generated by the vectors

$$(3 + u, 1 + 3u, 1, 1, 0, 3 + 2u, 3 + 2u), (1 + 3u, 1 + 2u, 2 + u, 2 + 2u, 3 + 2u, 2 + u, 3 + 2u)$$

and  $(3 + 2u, 3 + 2u, 1 + u, 2u, 2 + 2u, 2, 1)$ . Then  $C$  is a linear code over  $\mathbb{Z}_4 + u\mathbb{Z}_4$  of length 7 of size  $2^{12}$  and minimum Lee weight 8 whose Gray image is the best known  $\mathbb{Z}_4$ -code of the same parameters.

- Let  $C$  be the linear code over  $\mathbb{Z}_4 + u\mathbb{Z}_4$  of length 8 generated by the matrix

$$\begin{bmatrix} 1 & 0 & 0 & 0 & 1 & 2+2u & 1 & 1+2u \\ 0 & 1 & 0 & 0 & 2+2u & 3 & 3+2u & 1 \\ 0 & 0 & 1 & 0 & 3 & 3+2u & 1+2u & 2 \\ 0 & 0 & 0 & 1 & 1+2u & 3 & 2 & 3+2u \end{bmatrix}.$$

Then  $C$  is a self-dual code over  $\mathbb{Z}_4 + u\mathbb{Z}_4$  with Lee weight enumerator

$$1 + 380z^8 + 1920z^{10} + 7168z^{12} + 13440z^{14} + 1978z^{16} + \dots$$

where the rest is completed via symmetry.  $\phi(C)$  is a self-dual code over  $\mathbb{Z}_4$  of type  $(4)^8$  with the same weight enumerator.

- Let  $C$  be the linear code over  $\mathbb{Z}_4 + u\mathbb{Z}_4$  of length 8 generated by the matrix

$$\begin{bmatrix} 1 & 0 & 0 & 0 & 1+2u & 2+u & 1 & 1+2u \\ 0 & 1 & 0 & 0 & 2+u & 3+2u & 3+2u & 1 \\ 0 & 0 & 1 & 0 & 3+2u & 3 & 1+2u & 2+3u \\ 0 & 0 & 0 & 1 & 1 & 3+2u & 2+3u & 3+2u \end{bmatrix}.$$

Then  $C$  is a self-dual code over  $\mathbb{Z}_4 + u\mathbb{Z}_4$  with Lee weight enumerator

$$1 + 492z^8 + 1024z^{10} + 10304z^{12} + 71680z^{14} + 27558z^{16} + \dots$$

where the rest is completed via symmetry. The Gray image  $\phi(C)$  is not a self-dual code over  $\mathbb{Z}_4$ , but it is a formally self-dual code of type  $(4)^8$  with the same weight enumerator.

- Let  $C$  be the linear code over  $\mathbb{Z}_4 + u\mathbb{Z}_4$  of length 8 generated by the matrix

$$\begin{bmatrix} 1 & 0 & 0 & 0 & 1+3u & 2 & 1+u & 1 \\ 0 & 1 & 0 & 0 & 2+2u & 3+3u & 3 & 1+3u \\ 0 & 0 & 1 & 0 & 3+3u & 3 & 1+3u & 2 \\ 0 & 0 & 0 & 1 & 1 & 3+u & 2+2u & 3+3u \end{bmatrix}.$$

Then  $C$  is a self-dual code over  $\mathbb{Z}_4 + u\mathbb{Z}_4$  with Lee weight enumerator

$$1 + 508z^8 + 896z^{10} + 10752z^{12} + 6272z^{14} + 28678z^{16} + \dots$$

where the rest is completed via symmetry. The Gray image  $\phi(C)$  is not a self-dual code over  $\mathbb{Z}_4$ , but it is a formally self-dual code of type  $(4)^8$  with the same weight enumerator.

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