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## Outline





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- Fields of odd characteristic
- 3 The case of polynomial  $x^{q^2+q+2} + bx$ 
  - Fields of even characteristic
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## Introduction

In the recent times the interest to the special case of the permutation polynomials – complete permutation polynomials – has appeared again.



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A polynomial f(x) over a finite field  $\mathbb{F}_q$  of order q is called a *complete permutation polynomial*, if it is a permutation polynomial and there exists an element  $b \in \mathbb{F}_q^*$ , such that f(x) + bx has also this property.

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## Introduction

**Lemma** [Niederreiter, Robinson, 1982] *The polynomial* 

$$f(x) = x^{1 + \frac{q-1}{n}} + bx, \quad n|(q-1), \quad n > 1,$$

is a permutation polynomial over  $\mathbb{F}_q$  if and only if:

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is a permutation polynomial over  $\mathbb{F}_q$  if and only if: the element b is such that  $(-b)^n \neq 1$  and the following inequality holds:

$$((b+\omega^{i})(b+\omega^{j})^{-1})^{\frac{q-1}{n}} \neq \omega^{j-i}$$
 (1)

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for all i, j, such that  $0 \le i < j < n$ , where  $\omega$  is the fixed primitive root of the nth degree of 1 in the field  $\mathbb{F}_q$ .

### Introduction

Here we use the result of Niederreiter, Robinson for the two first natural cases of polynomials:

$$\mathbb{F}_{q^2}, \ n = q - 1, \ f(x) = x^{\frac{q^2 - 1}{q - 1} + 1} + bx = x^{q + 2} + bx;$$
$$\mathbb{F}_{q^3}, \ n = q - 1, \ f(x) = x^{\frac{q^3 - 1}{q - 1} + 1} + bx = x^{q^2 + q + 2} + bx.$$

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## Introduction

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$$\mathbb{F}_{q^3}, \ n = q - 1, \ f(x) = x^{\frac{q^2 - 1}{q - 1} + 1} + bx = x^{q^2 + q + 2} + bx.$$

We assume that  $q = p^m$ , where p is the field characteristic and  $p^m > 2$ .

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L The case of polynomial  $x^{q+2} + bx$ 

## The case of polynomial $x^{q+2} + bx$

Consider the field  $\mathbb{F}_{q^2}$  and set n = q - 1.



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**Proposition 1.** The polynomial  $x^{q+2} + bx$  is a permutation over the field  $\mathbb{F}_{q^2}$  if and only if  $b \in \mathbb{F}_{q^2} \setminus \mathbb{F}_q$  and the equation

$$(x+y)^{2} + (x+y)(b+b^{q}) + b^{q+1} - xy = 0,$$
(2)

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has no solutions  $x, y \in \mathbb{F}_q$ ,  $x \neq 0$ ,  $y \neq 0$ ,  $x \neq y$ .

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### Fields of even characteristic

Let  $q = 2^m, m > 1$ . Using the identity  $xy = x^2 + x(x + y)$  and setting x + y = z, from the equation (2) we arrive to the equivalent equation

$$x^{2} + xz + z^{2} + z(b + b^{q}) + b^{q+1} = 0.$$
 (3)

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$$x^{2} + xz + z^{2} + z(b + b^{q}) + b^{q+1} = 0.$$
 (3)

Hence from Proposition 1 we obtain

**Proposition 2.** Let  $q = 2^m, m > 1$ . The polynomial  $x^{q+2} + bx$  is a permutation over the field  $F_{q^2}$  if and only if  $b \in \mathbb{F}_{q^2} \setminus \mathbb{F}_q$  and the equation

$$x^{2} + xz + z^{2} + z(b + b^{q}) + b^{q+1} = 0$$
(4)

has no solutions in the field  $\mathbb{F}_q$  for all  $z \in \mathbb{F}_q^*$ .

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## Fields of even characteristic

Proposition 2 allows to solve the permutability problem for the polynomial  $x^{q+2} + bx$  over  $\mathbb{F}_{q^2}$ . Although it was already solved in [Charpin, Kyureghyan, 2008] and in [Sarkar, Bhattacharya, Cesmelioglu, 2012], our approach essentially differs from the ones used in the papers above.

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## Fields of even characteristic

**Theorem 1** (see also [Charpin, Kyureghyan, 2008], and [Sarkar, Bhattacharya, Cesmelioglu, 2012]) Let  $q = 2^m, m > 1$ . The polynomial  $x^{q+2} + bx$  is a permutation polynomial over  $\mathbb{F}_{q^2}$ , if and only if  $b \in \mathbb{F}_{q^2} \setminus \mathbb{F}_q$ , the number m is odd and  $b^{3(q-1)} = 1$ . The number of such different elements b is equal to 2(q-1), all these elements can be written in the following form:

$$b = \alpha^{(q+1)(3t+1)/3}$$
 or  $b = \alpha^{(q+1)(3t+2)/3}, t = 0, 1, \dots, 2^m - 2,$ 

where  $\alpha$  is a primitive element of the field  $\mathbb{F}_{q^2}$ . **Corollary 1.** Let  $q = 2^m$ , where m > 1. The polynomial  $b^{-1}x^{q+2}$  is a complete permutation polynomial over the field  $\mathbb{F}_{q^2}$ , if and only if the number m is odd and b satisfies the condition of Theorem 1.

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#### Fields of odd characteristic

Let  $q = p^m$ , where  $p \ge 3$ . After changing the variables x + y = zand x - y = u, Proposition 1 turns into



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Let  $q = p^m$ , where  $p \ge 3$ . After changing the variables x + y = zand x - y = u, Proposition 1 turns into **Proposition 3.** Let  $q = p^m$  and  $p \ge 3$ . The polynomial  $x^{q+2} + bx$ is a permutation over the field  $\mathbb{F}_{q^2}$  if and only if  $b \in \mathbb{F}_{q^2} \setminus \mathbb{F}_q$  and the equation

$$3z^{2} + 4z(b+b^{q}) + 4b^{q+1} + u^{2} = 0$$
(5)

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has no solutions  $u, z \in \mathbb{F}_q, u \neq 0$ .

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#### Fields of odd characteristic

First consider the case p = 3.



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First consider the case p = 3. **Theorem 2.** Let  $q = 3^m$ . The polynomial  $x^{q+2} + bx$  is a permutation polynomial over the field  $\mathbb{F}_{q^2}$ , if and only if  $b \in \mathbb{F}_{q^2} \setminus \mathbb{F}_q$  and  $b^{q-1} = -1$ . The number of such different elements b equals q - 1, and all these elements can be presented in the following form:

$$b = \alpha^{\frac{q+1}{2}(2t+1)}, \ t = 0, 1, \dots, q-2,$$

where  $\alpha$  is a primitive element of the field  $\mathbb{F}_{q^2}$ .

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For the case p > 3, solving the quadratic equation, Proposition 3 can be equivalently replaced by



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For the case p>3, solving the quadratic equation, Proposition 3 can be equivalently replaced by

**Proposition 4.** Let  $q = p^m$  and p > 3. The polynomial  $x^{q+2} + bx$  is a permutation over  $\mathbb{F}_{q^2}$ , if and only if  $b \in \mathbb{F}_{q^2} \setminus \mathbb{F}_q$  and the equation

$$4b^2 - 4b^{q+1} + 4b^{2q} - 3u^2 = v^2 \tag{6}$$

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has no solutions  $u, v \in \mathbb{F}_q, \ u \neq 0$ .

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Since the number of solutions of the equation  $3u^2 + v^2 = a \neq 0$  in the field  $\mathbb{F}_q$  is not less than q - 1, and the number of solutions which have u = 0 is not greater than two, then the equation (6) has a solution  $u, v \in \mathbb{F}_q$ ,  $u \neq 0$ , if and only if the quadratic equation  $w^2 + 3 = 0$  has a solution in the field  $\mathbb{F}_q$ .

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**Theorem 4.** Let  $q = p^m$ , and p > 3. The polynomial  $x^{q+2} + bx$  is a permutation polynomial over the field  $\mathbb{F}_{q^2}$ , if and only if p = 6k - 1, m is odd and b is as follows:

$$b = \alpha^{\frac{q+1}{6}(6t+1)}$$
 or  $b = \alpha^{\frac{q+1}{6}(6t+5)}, t = 0, 1, \dots, q-2,$  (7)

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where  $\alpha$  is a primitive element of  $\mathbb{F}_{q^2}$ .

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where  $\alpha$  is a primitive element of  $\mathbb{F}_{q^2}$ . The number of different solutions  $b \in \mathbb{F}_{q^2} \setminus \mathbb{F}_q$  equals 2(q-1).

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where  $\alpha$  is a primitive element of  $\mathbb{F}_{q^2}$ . The number of different solutions  $b \in \mathbb{F}_{q^2} \setminus \mathbb{F}_q$  equals 2(q-1). **Corollary 3.** Let  $q = p^m$ , and p > 3. The polynomial  $b^{-1}x^{q+2}$  is a complete permutation polynomial over the field  $\mathbb{F}_{q^2}$  if and only if p = 6k - 1, m is odd and b satisfies the condition of Theorem 4.

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# The case of polynomial $x^{q^2+q+2} + bx$

**Proposition 5.** The polynomial  $x^{q^2+q+2} + bx$  is a permutation over the field  $\mathbb{F}_{q^3}$ , if and only if  $b \in \mathbb{F}_{q^3} \setminus \mathbb{F}_q$  and the equation

$$(x+y)^3 - 2(x+y)xy + ((x+y)^2 - xy)B_1 + (x+y)B_2 + B_3 = 0,$$
 (8)

has no solution  $x,y\in \mathbb{F}_q$  ,  $x\neq 0$  ,  $y\neq 0$  ,  $x\neq y$  , where

$$B_1 = b^{q^2} + b^q + b, \ B_2 = b^{q+1} + b^{q^2+1} + b^{q^2+q}, \ B_3 = b^{q^2+q+1}$$

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- On complete permutation polynomials  $\Box$  The case of polynomial  $x^{q^2+q+2} + bx$ 
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### Fields of even characteristic

Let 
$$q = 2^m$$
, and  $m > 1$ .



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Let  $q = 2^m$ , and m > 1. Set x + y = z, xy = u. Using the identity  $xy = x^2 + x(x + y)$  from (8) we arrive to the equivalent equation

$$uB_1 = z^3 + z^2 B_1 + z B_2 + B_3.$$
(9)

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Let  $q = 2^m$ , and m > 1. Set x + y = z, xy = u. Using the identity  $xy = x^2 + x(x + y)$  from (8) we arrive to the equivalent equation

$$uB_1 = z^3 + z^2 B_1 + z B_2 + B_3.$$
(9)

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By the same argument, when  $B_1 = 0$  the equation (9) has no solution in  $\mathbb{F}_q$  for any  $u \in \mathbb{F}_q$ ,  $u \neq 0$ , since in this case  $z \in \mathbb{F}_{q^3} \setminus \mathbb{F}_q$ .

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**Theorem 5.** Let  $q = 2^m$  and m > 1. The polynomial  $x^{q^2+q+2} + bx$  is a permutation over the field  $\mathbb{F}_{q^3}$  if and only if  $b \in \mathbb{F}_{q^3} \setminus \mathbb{F}_q$  and  $b + b^q + b^{q^2} = 0$ . The number of such different elements b equals  $q^2 - 1$ .



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**Theorem 5.** Let  $q = 2^m$  and m > 1. The polynomial  $x^{q^2+q+2} + bx$  is a permutation over the field  $\mathbb{F}_{q^3}$  if and only if  $b \in \mathbb{F}_{q^3} \setminus \mathbb{F}_q$  and  $b + b^q + b^{q^2} = 0$ . The number of such different elements b equals  $q^2 - 1$ . **Remark.** Theorem 5 gives the exhaustive answer to the question on permutability of the polynomial  $x^{q^2+q+2} + bx$  over  $\mathbb{F}_{q^3}$ ,  $q = 2^m, m > 1$ .

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**Theorem 5.** Let  $q = 2^m$  and m > 1. The polynomial  $x^{q^2+q+2} + bx$  is a permutation over the field  $\mathbb{F}_{q^3}$  if and only if  $b \in \mathbb{F}_{q^3} \setminus \mathbb{F}_q$  and  $b + b^q + b^{q^2} = 0$ . The number of such different elements b equals  $q^2 - 1$ . **Remark.** Theorem 5 gives the exhaustive answer to the question on permutability of the polynomial  $x^{q^2+q+2} + bx$  over  $\mathbb{F}_{q^3}$ ,  $q = 2^m$ , m > 1. In [Tu, Zeng, Hu, 2014] and in [Wu-Li-Helleseth-Zhang, 2014-2015] partial answers were obtained:

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**Theorem 5.** Let  $q = 2^m$  and m > 1. The polynomial  $x^{q^2+q+2} + bx$  is a permutation over the field  $\mathbb{F}_{q^3}$  if and only if  $b \in \mathbb{F}_{q^3} \setminus \mathbb{F}_q$  and  $b + b^q + b^{q^2} = 0$ . The number of such different elements b equals  $q^2 - 1$ . **Remark.** Theorem 5 gives the exhaustive answer to the question on permutability of the polynomial  $x^{q^2+q+2} + bx$  over  $\mathbb{F}_{q^3}$ ,  $q = 2^m, m > 1$ . In [Tu, Zeng, Hu, 2014] and in [Wu-Li-Helleseth-Zhang, 2014-2015] partial answers were obtained: in [Tu, Zeng, Hu, 2014] for the case  $m \equiv 1 \pmod{3}$ 

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**Theorem 5.** Let  $q = 2^m$  and m > 1. The polynomial  $x^{q^2+q+2} + bx$  is a permutation over the field  $\mathbb{F}_{q^3}$  if and only if  $b \in \mathbb{F}_{a^3} \setminus \mathbb{F}_q$  and  $b + b^q + b^{q^2} = 0$ . The number of such different elements b equals  $q^2 - 1$ . Remark. Theorem 5 gives the exhaustive answer to the question on permutability of the polynomial  $x^{q^2+q+2} + bx$  over  $\mathbb{F}_{q^3}, q = 2^m, m > 1$ . In [Tu, Zeng, Hu, 2014] and in [Wu-Li-Helleseth-Zhang, 2014-2015] partial answers were obtained: in [Tu, Zeng, Hu, 2014] for the case  $m \equiv 1 \pmod{3}$ and in [Wu, Li, Helleseth, Zhang, 2014-2015] for the case  $m \equiv 3$  $\pmod{9}$ , the elements  $b \in \mathbb{F}_{q^3} \setminus \mathbb{F}_q$  were given for which the polynomial  $x^{q^2+q+2} + bx$  is a permutation. However, it was not stated that other such elements did not exist.

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### Fields of even characteristic

**Corollary 4.** Let  $q = 2^m$  and m > 1. Then the polynomial  $b^{-1}x^{q^2+q+2}$  is a complete permutation polynomial over the field  $\mathbb{F}_{q^3}$  if and only if b satisfies the condition of the Theorem 5.

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### Fields of odd characteristic

**Proposition 6.** Let  $q = p^m$ , and  $p \ge 3$ . The polynomial  $x^{q^2+q+2} + bx$  is a permutation over the field  $\mathbb{F}_{q^3}$ , if and only if  $b \in \mathbb{F}_{q^3} \setminus \mathbb{F}_q$  and the equation

$$(x-y)^{2}(2(x+y)+B_{1})+2(x+y)^{3}+3(x+y)^{2}B_{1}+4(x+y)B_{2}+4B_{3}=0$$

has no solution  $x, y \in \mathbb{F}_q, \ x \neq 0, \ y \neq 0, \ x \neq y.$ 

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**Proposition 6.** Let  $q = p^m$ , and  $p \ge 3$ . The polynomial  $x^{q^2+q+2} + bx$  is a permutation over the field  $\mathbb{F}_{q^3}$ , if and only if  $b \in \mathbb{F}_{q^3} \setminus \mathbb{F}_q$  and the equation

$$(x-y)^{2}(2(x+y)+B_{1})+2(x+y)^{3}+3(x+y)^{2}B_{1}+4(x+y)B_{2}+4B_{3}=0$$

has no solution  $x, y \in \mathbb{F}_q$ ,  $x \neq 0$ ,  $y \neq 0$ ,  $x \neq y$ . Set x + y = z and x - y = u. Then Proposition 6 turns to

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**Proposition 6.** Let  $q = p^m$ , and  $p \ge 3$ . The polynomial  $x^{q^2+q+2} + bx$  is a permutation over the field  $\mathbb{F}_{q^3}$ , if and only if  $b \in \mathbb{F}_{q^3} \setminus \mathbb{F}_q$  and the equation

$$(x-y)^{2}(2(x+y)+B_{1})+2(x+y)^{3}+3(x+y)^{2}B_{1}+4(x+y)B_{2}+4B_{3}=0$$

has no solution  $x, y \in \mathbb{F}_q$ ,  $x \neq 0$ ,  $y \neq 0$ ,  $x \neq y$ . Set x + y = z and x - y = u. Then Proposition 6 turns to **Proposition 7.** Let  $q = p^m$ , and  $p \ge 3$ . The polynomial  $x^{q^2+q+2} + bx$  is a permutation over the field  $\mathbb{F}_{q^3}$ , if and only if  $b \in \mathbb{F}_{q^3} \setminus \mathbb{F}_q$  and the equation

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$$u^{2}(2z+B_{1})+2z^{3}+3z^{2}B_{1}+4zB_{2}+4B_{3}=0$$
 (10)

has no solution  $u \in \mathbb{F}_q^*$ ,  $z \in \mathbb{F}_q$ .

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L The case of polynomial  $x^{q^2+q+2} + bx$ 

Fields of odd characteristic

# Fields of odd characteristic

Since for the case  $z=-B_{1}/2,$  the equation above reduces to the condition

$$B_1^3 - 4B_1B_2 + 8B_3 = 0, (11)$$

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for the element b, the polynomial  $x^{q^2+q+2} + bx$  is not permutation over  $\mathbb{F}_{q^3}$ , if the element b satisfies (11), because for any  $u \in \mathbb{F}_q^*$  the equation (10) has the solution  $z = -B_1/2$ .

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Now let  $B_1^3 - 4B_1B_2 + 8B_3 \neq 0$  and, therefore,  $z \neq -B_1/2$ . Then we arrive to the result.



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Now let  $B_1^3 - 4B_1B_2 + 8B_3 \neq 0$  and, therefore,  $z \neq -B_1/2$ . Then we arrive to the result.

**Proposition 8.** Let  $q = p^m$ ,  $p \ge 3$ . The polynomial  $x^{q^2+q+2} + bx$  is a permutation over  $\mathbb{F}_{q^3}$ , if and only if  $b \in \mathbb{F}_{q^3} \setminus \mathbb{F}_q$ ,  $D \ne 0$  and the equation

$$Y^{2} = X^{3} + \frac{C}{D^{2}}X^{2} - \frac{1}{D^{4}}$$
(12)

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has no solutions  $Y, X \in \mathbb{F}_q^*$ .

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For the case  $q \ge 11$  the permutation polynomials over  $\mathbb{F}_{q^3}$  of type  $x^{q^2+q+2} + bx$  do not exist (by the Hasse Theorem for the number of solutions of the equation above).



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For the case  $q \ge 11$  the permutation polynomials over  $\mathbb{F}_{q^3}$  of type  $x^{q^2+q+2} + bx$  do not exist (by the Hasse Theorem for the number of solutions of the equation above). It remains to consider only the cases q = 3, 5, 7, 9.



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For the case  $q \ge 11$  the permutation polynomials over  $\mathbb{F}_{q^3}$  of type  $x^{q^2+q+2} + bx$  do not exist (by the Hasse Theorem for the number of solutions of the equation above). It remains to consider only the cases q = 3, 5, 7, 9. **Theorem 6.** Let  $q = p^m$ , and p > 3. The polynomial

 $x^{q^2+q+2} + bx$  is a permutation polynomial over the field  $\mathbb{F}_{q^3}$  if and only if q = 3 or q = 7.



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**Theorem 6.** Let  $q = p^m$ , and  $p \ge 3$ . The polynomial  $x^{q^2+q+2} + bx$  is a permutation polynomial over the field  $\mathbb{F}_{q^3}$  if and only if q = 3 or q = 7. Because  $x^{14}$  (respectively  $x^{58}$ ) is not a permutation polynomial over the field  $\mathbb{F}_{3^3}$  (respectively over the field  $\mathbb{F}_{7^3}$ ) then the following result holds.

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For the case  $q \ge 11$  the permutation polynomials over  $\mathbb{F}_{q^3}$  of type  $x^{q^2+q+2} + bx$  do not exist (by the Hasse Theorem for the number of solutions of the equation above). It remains to consider only the cases q = 3, 5, 7, 9.

**Theorem 6.** Let  $q = p^m$ , and  $p \ge 3$ . The polynomial  $x^{q^2+q+2} + bx$  is a permutation polynomial over the field  $\mathbb{F}_{q^3}$  if and only if q = 3 or q = 7. Because  $x^{14}$  (respectively  $x^{58}$ ) is not a permutation polynomial over the field  $\mathbb{F}_{q^3}$  (respectively over the field  $\mathbb{F}_{7^3}$ ) then the

following result holds.

**Corollary 6.** Let  $q = p^m$  and  $p \ge 3$ . Then the polynomial  $b^{-1}x^{q^2+q+2}$  is not a complete permutation polynomial over the field  $\mathbb{F}_{q^3}$  for any  $b \in \mathbb{F}_{q^3}^*$ .

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# Fields of odd characteristic

It is known, that the equation  $w^2 + 3 = 0$  has a solution in  $\mathbb{F}_p$ , if and only if p = 6k + 1.



# Fields of odd characteristic

It is known, that the equation  $w^2 + 3 = 0$  has a solution in  $\mathbb{F}_p$ , if and only if p = 6k + 1. Hence when m is odd and p = 6k + 1 the equation  $w^2 + 3 = 0$  has a solution in  $\mathbb{F}_q$ , but when m is odd and p = 6k - 1 has no solution in  $\mathbb{F}_q$ . For the even m and p > 3 the equation  $w^2 + 3 = 0$ has a solution in  $\mathbb{F}_q$ , since when m = 2k the equation  $w^2 + c = 0$ , for  $c \in F_{p^k}$ , has always a solution in the quadratic extension  $\mathbb{F}_{p^{2k}}$ .

### Fields of even characteristic

If  $B_1 \neq 0$ , then the polynomial  $x^{q^2+q+2} + bx$  is a permutation over  $\mathbb{F}_{q^3}$ , if and only if  $b \in \mathbb{F}_{q^3} \setminus \mathbb{F}_q$  and the equation over x

$$x^{2} + xz + u = x^{2} + xz + \frac{z^{3} + z^{2}B_{1} + zB_{2} + B_{3}}{B_{1}} = 0, \quad (13)$$

has no solution in  $\mathbb{F}_q$  for any  $z \in \mathbb{F}_q^*$ .



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$$x^{2} + xz + u = x^{2} + xz + \frac{z^{3} + z^{2}B_{1} + zB_{2} + B_{3}}{B_{1}} = 0, \quad (13)$$

has no solution in  $\mathbb{F}_q$  for any  $z \in \mathbb{F}_q^*$ . It can be shown, that there exists  $z \in \mathbb{F}_q^*$ , such that (13) has a solution in  $\mathbb{F}_q$ . Using that  $B_1$  is the relative trace function form  $\mathbb{F}_{q^3}$  into  $\mathbb{F}_q$ , i.e.  $B_1 = Tr_{q^3 \to q}(b) = b + b^q + b^{q^2}$ , we conclude, that the number of different elements  $b \in \mathbb{F}_{q^3} \setminus \mathbb{F}_q$  for which  $B_1 = 0$  equals  $q^2 - 1$ .